

Enantioselective Autoinduction in the Asymmetric Hydrocyanation of 3-Phenoxybenzaldehyde Catalyzed by Cyclo[(*R*)-phenylalanyl-(*R*)-histidyl]

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Summary: A new example of enantioselective autoinduction, i.e., an asymmetric reaction that is promoted by a chiral catalyst into which the chiral product has been incorporated, has been found in the asymmetric hydrocyanation of 3-phenoxybenzaldehyde catalyzed by cyclo[(*R*)-phenylalanyl-(*R*)-histidyl].

(*S*)-2-Hydroxy-2-(3-phenoxyphenyl)acetonitrile ((*S*)-2) is an important alcoholic precursor of optically active pyrethroid insecticides.¹ Thus, obtaining it in high optical purity is of some importance. There are many reports of the enantioselective hydrocyanation or silylcyanation of aldehydes and ketones in the presence of a chiral catalyst² and of the enantioselective hydrocyanation of such compounds in the presence of enzymes.³ Inoue et al.⁴ have reported that chiral cyclic dipeptides which incorporate an (*S*)-histidine residue catalyze the enantioselective hydrocyanation of aldehydes. We have already described the essential factors for the enantioselective hydrocyanation of 3-phenoxybenzaldehyde (1) catalyzed by cyclo[(*R*)-phenylalanyl-(*R*)-histidyl] ((*R,R*)-3).⁵ Recently, Alberts and Wynberg have described an example of enantioselective autoinduction in the asymmetric addition of ethyllithium to benzaldehyde.⁶ We now describe another example of enantioselective autoinduction in the asymmetric hydrocyanation of 1 catalyzed by (*R,R*)-3 (Scheme I).

We investigated the enantioselective addition of hydrogen cyanide to 1 catalyzed by (*R,R*)-3 in detail.⁷ The results are shown in Table I. However, an unusual phenomenon was observed: The enantiomeric excess (ee) of

Table I. Effect of the Initial Presence of (*S*)-2 or (*R*)-2 on the Enantioselective Addition of Hydrogen Cyanide to 3-Phenoxybenzaldehyde (1) Catalyzed by (*R,R*)-3^a

method ^a	time (h)	conversion of 1 ^{b,c} (%)	optical purity of (<i>S</i>)-2 ^{c,d} (% ee)
A	0.5	21	34.4
	1	39	66.2
	2	92	91.6
	4	94	92.0
B	0.5	59 (55)	95.4 (95.8)
	1	81 (79)	96.0 (96.4)
	2	93 (92)	96.4 (96.8)
	4	95 (95)	96.2 (96.6)
C	0.5	24 (17)	25.1 (34.8)
	1	43 (38)	54.0 (66.2)
	2	93 (92)	77.8 (92.2)
	4	95 (95)	78.0 (92.4)

^a Method A: (*R,R*)-3:1:H₂CN = 1.1:50:99 mmol; toluene (40 mL), 5 °C. Method B: As method A, except that (*S*)-2 of 92.0 % ee (4.4 mmol) was present initially. Method C: As method A, except that (*R*)-2 of 84.9 % ee (4.4 mmol) was present initially. ^b Determined by HPLC (LiChrosorb SI-60). No byproducts were observed by HPLC. ^c The values in parentheses are corrected by subtracting the appropriate value for the added cyanohydrin ((*S*)-2 or (*R*)-2). ^d Determined by HPLC (Sumipax OA-4100).

Table II. Effect of the Optical Purity of the (*R,R*)-3 Catalyst on the Enantioselective Autoinduction^a

entry	optical purity of (<i>R,R</i>)-3 ^b (% ee)	conversion of 1 ^c (%)	optical purity of 2 (% ee) (configuration) ^{d,e}	method ^a
1	100	94	92.0 (<i>S</i>)	A
2	84.6	89	80.0 (<i>S</i>)	A
3	79.4	89	76.2 (<i>S</i>)	A
4	66.8	81	64.6 (<i>S</i>)	A
5	40.0	62	37.2 (<i>S</i>)	A
6	12.2	27	11.0 (<i>S</i>)	A
7	100	95	96.2 (<i>S</i>)	B
8	84.6	96	94.8 (<i>S</i>)	B
9	79.4	96	96.8 (<i>S</i>) ^f	B
10	66.8	89	96.0 (<i>S</i>)	B
11	40.0	90	90.2 (<i>S</i>)	B
12	12.2	79	86.6 (<i>S</i>)	B
13	12.2	68	39.6 (<i>R</i>)	C
14	2.0	4	3.4 (<i>S</i>)	A
15	2.0	43	81.6 (<i>S</i>)	B
16	2.0	39	74.0 (<i>R</i>)	C
17	no catalyst	no reaction		B
18	no catalyst	no reaction		C

^a Method A: (*R,R*)-3:1:H₂CN = 1.1:50:99 mmol; toluene (40 mL), 5 °C. Method B: As method A, except that (*S*)-2 of 92.0 % ee (4.4 mmol) was present initially. Method C: As method A, except that (*R*)-2 of 84.9 % ee (4.4 mmol) was present initially. ^b Determined by HPLC (Ultron ES-OVM). ^c Determined by HPLC (LiChrosorb SI-60). No byproducts were observed by HPLC. ^d Determined by HPLC (Sumipax OA-4100). ^e For the absolute configurations of (*R*)-2 and (*S*)-2 see: Tanaka, K.; Inoue, S. *J. Org. Chem.* 1990, 55, 181. ^f [α]_D²⁵ -17.5° (c 0.80, benzene).

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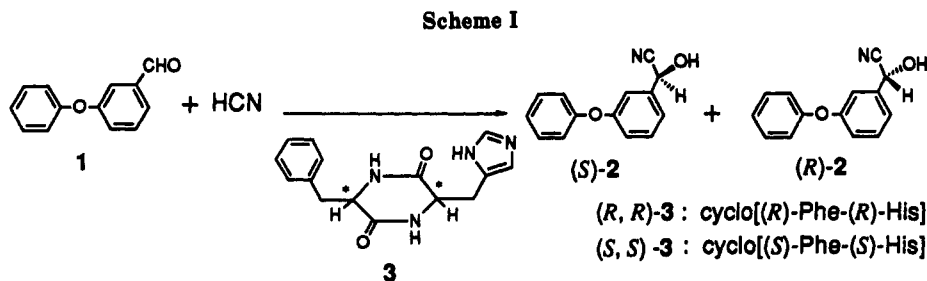
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(5) We have correlated the ee of the product, (*S*)-2, with the degree of gelation and the degree of crystallinity of the catalyst (*R,R*)-3. See: Danda, H. *Synlett* 1991, 263.

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(7) The catalysts ((*R,R*)-3 and (*S,S*)-3) were prepared by the method of Inoue et al.^{4b} and were activated by precipitation from MeOH/Et₂O with vigorous stirring.⁵ The enantioselective hydrocyanation of 1 was carried out in the gelatinous reaction mixture.⁵ The ¹H NMR and IR spectra of the product cyanohydrins, (*S*)-2 and (*R*)-2, were identical to those of authentic samples. The absolute configurations of (*S*)-2 and (*R*)-2 have already been determined.^{4b}

the product, (*S*)-2, increased as the fraction of 1 that was converted increased (method A). Furthermore, when a small amount of (*S*)-2 was present in the reaction mixture prior to the introduction of HCN, the optical purity of the product remained at ca. 96% ee throughout the course of



the reaction (method B). In contrast, when a small amount of (*R*)-2 was present initially, the optical purity of the product, (*S*)-2, gradually increased, from a relatively low value, as the fraction of 1 that was converted increased (method C). These results suggested that the (*S*)-2 that is produced is incorporated into the active chiral catalyst.

Next, we examined what effect the presence of (*S*)-2 or (*R*)-2 had as the optical purity of the (*R,R*)-3 catalyst was varied. The results are summarized in Table II. The initial presence of a small amount of (*S*)-2 (method B) led to the formation of (*S*)-2 of high optical purity (81.6–96.8% ee) regardless of the optical purity of (*R,R*)-3. It is noteworthy that the use of an essentially racemic catalyst ((*R,R*)-3 in 2.0% ee) led to the formation of (*S*)-2 in 81.6% ee when a small amount of (*S*)-2 was present initially. In contrast, the use of the same catalyst led to the formation of the other enantiomer, (*R*)-2, when a small amount of (*R*)-2 was present initially (entries 15 and 16). Apparently, (*R,R*)-3 in 2.0% ee displays little catalytic activity (entry 14). That this is so is believed to be due to formation of an (*R,R*)-3·(*S,S*)-3 complex.⁸ It is clear that neither (*S*)-2 nor (*R*)-2 alone display any catalytic activity (entries 17 and 18). These results also suggest that the (*R,R*)-3·(*S,S*)-3 complex dissociates when a small amount of (*S*)-2 is present. Thus, it is an (*R,R*)-3·(*S*)-2 complex that functions as the active chiral catalyst. That species is catalytically more active than (*S,S*)-3 alone. Similarly the (*S,S*)-3·(*R*)-2 complex is also catalytically active (entry 16). That the optical purity of the product (*S*)-2 decreases when prepared by method B may be due to the formation of (*R*)-2 catalyzed by the (*S,S*)-3·(*R*)-2 complex.

Evidence that (*R,R*)-3 and (*S*)-2 do form a complex was that a gel that contained both compounds was isolated

(8) The X-ray diffraction pattern of (*R,R*)-3 of 2.0% ee was different from that of optically pure (*R,R*)-3.

from a mixture of racemic 2 and optically pure (*R,R*)-3 in toluene.⁹ A 93.3:6.7 mixture of (*S*)-2 and (*R*)-2 was recovered from the gel. The mole ratio of (*S*)-2 to (*R,R*)-3 in the gel was approximately 0.8:1. The ratio of (*S*)-2 to (*R*)-2 in the solution that remained was 46.7:53.3. These facts seem to provide evidence that (*R,R*)-3 preferentially associates with (*S*)-2. Furthermore, when the gelatinous (*R,R*)-3·(*S*)-2 complex was employed as a catalyst in the enantioselective hydrocyanation of 1, (*S*)-2 in 97% ee was formed regardless of what fraction of 1 had been converted.¹⁰

Thus, a new example of enantioselective autoinduction, i.e., an asymmetric reaction that is promoted by a chiral catalyst into which the chiral product has been incorporated, has been found.

A study aimed at elucidating the mechanism of the enantioselective autoinduction is in progress. The results will be published in the near future.

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Supplementary Material Available: Experimental details, optical rotations, and spectroscopic characteristics (¹H and ¹³C NMR) for (*R,R*)-3 and (*S,S*)-3 (3 pages). Ordering information is given any current masthead page.

(9) A mixture of racemic 2 (22.2 mmol) and optically pure (*R,R*)-3 (2.1 mmol) in toluene (40 mL) was stirred vigorously at 0 °C for 1 h. The mixture, which became gelatinous, was then diluted with cold toluene (200 mL). A gel that contained (*R,R*)-3 was then isolated from the mixture by centrifugation. The gel and the solution that remained were analyzed by HPLC.

(10) A gelatinous (*R,R*)-3·(*S*)-2 complex was prepared from (*R,R*)-3 (1.1 mmol) in the manner described above. All of the complex was employed in the enantioselective hydrocyanation of 1 (50 mmol) at 5 °C for 4 h.